Supreme Court, U.S.
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No. _____ OFFICE OF THE CLERK

In The

Supreme Court of the United States

October Term, 1993

MEMPHIS POLICE DEPARTMENT, et al.,

Petitioners,

vs.

CLEAMTEE GARNER,

Respondent.

On Petition For A Writ Of Certiorari To The United States Court Of Appeals For The Sixth Circuit

PETITION FOR A WRIT OF CERTIORARI

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QUESTIONS PRESENTED

The questions presented for review in this Petition for Writ of Certiorari are:

1. Whether the City's Departmental Order authorizing the use of deadly force to apprehend a fleeing felon based upon well established law is an official policy or custom created by the City or Department, the execution or implementation of which caused the particular constitutional injury involved.

2. Whether the City's good faith reliance on a fleeing felon rule determined to be within constitutional limits prior to this Court's decision in *Tennessee v. Garner*, 471 U.S. 1 (1985) precludes liability for the shooting in this case.

3. Whether the holding in *Tennessee v. Garner*, 471 U.S. 1 (1985) should have retroactive application to the City and its Police Department in this case.

4. Whether the exoneration of a police officer from liability in a fleeing felon shooting based upon qualified immunity or actions not unreasonable, relieves the City of liability.

LIST OF PARTIES

The parties involved are Cleamtee Garner, father, and next of kin of Edward Eugene Garner, a deceased minor, the Memphis Police Department and the City of Memphis, Tennessee.

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CLEAMTEE GARNER,

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On Petition For A Writ Of Certiorari To The United States Court Of Appeals For The Sixth Circuit

PETITION FOR A WRIT OF CERTIORARI

Petitioners pray that a Writ of Certiorari issue to review the opinion and judgment of the United States Court of Appeals for the Sixth Circuit decided and filed in this case on October 22, 1993.

OPINIONS BELOW

The Order Denying Plaintiff's Motion for Partial Judgment, Granting Summary Judgment for the Defendants and Dismissing Case entered in the United States District Court for the Western District of Tennessee, Western Division, and filed on June 30, 1992, has not been

officially published, and appears in the Appendix at A1. The opinion of the United States Court of Appeals for the Sixth Circuit decided and filed October 22, 1993, reversing the district court order and remanding the case for further proceedings has been recommended for full-text publication and appears in the Appendix at A5. The order of the United States Court of Appeals for the Sixth Circuit denying the petitioners' petition for rehearing en banc and for rehearing by the original hearing panel was filed December 7, 1993, and has not been officially published. It appears in the Appendix at A30.

JURISDICTION

The judgment of the United States Court of Appeals for the Sixth Circuit was entered October 22, 1993. On December 7, 1993, the court filed an order denying the petitioners' timely request for a suggestion of rehearing or rehearing en banc. This petition was filed within ninety (90) days of the decision of the court and the denial of rehearing.

CONSTITUTIONAL PROVISIONS AND STATUTES INVOLVED

The constitutional provisions involved in this case are the Fourth and Fourteenth Amendments to the United States Constitution. Those amendments read as follows, in pertinent part:

AMENDMENT IV

The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no warrants shall issue, but upon probable cause supported by oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized.

AMENDMENT XIV

Section 1. All persons born or naturalized in the United States, and subject to the jurisdiction thereof, are citizens of the United States and of the State wherein they reside. No State shall make or enforce any law which shall abridge the privileges or immunities of citizens of the United States; nor shall any State deprive any person of life, liberty, or property, without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws.

The State statutory provision applicable to the case at the relevant time was Tennessee Code Annotated § 40-7-108 (formerly codified as § 40-808), which provided as follows:

Resistance to Officer – If after notice of the intention to arrest the defendant, he either flees or forcibly resists, the officer may use all the necessary means to effect the arrest.

The Federal statute involved is 42 U.S.C. § 1983, which provides as follows:

§ 1983. Civil action for deprivation of rights

Every person who, under color of any statute, ordinance, regulation, custom or usage, of

any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia.

STATEMENT OF THE CASE

On the night of October 3, 1974, an individual broke a window at the rear of a residence within the city limits of Memphis, Tennessee, and entered the house. Police were called by a neighbor, and two (2) officers were dispatched to the scene. When they arrived, the officers were advised by the neighbor only that "they are breaking in". While one officer reported their arrival to the dispatcher, the other went toward the rear of the house. As he approached the corner of the house, he heard the rear door slam and, rounding the corner, saw with the aid of his flashlight the figure of a black male crouching next to the fence at the rear of the residence approximately thirty to forty feet (30-40') away. The officer could not tell whether the man was armed.

The officer shouted "halt" and identified himself. After a momentary pause, the suspect sprang to the top of the fence, extending half his body over the fence, at which time the officer fired, striking the suspect in the

head. The officer believed there was very little opportunity of identification of the suspect for the purpose of future arrest if he escaped; there were several obstacles, including a clothesline and other objects outlined in the dark, between the officer and the suspect, making pursuit almost certainly futile; and the officer was unfamiliar with the location and the neighborhood.

The suspect, who was fatally wounded, turned out to be a fifteen-year-old who was unarmed at the time. A small amount of money and jewelry, shown to have come from the residence, was on his person. It was also later learned that the residence was unoccupied at the time of the break-in, although this was not known to the officers.

On April 8, 1975, a civil rights action was brought by Cleamtee Garner in the United States District Court for the Western District of Tennessee pursuant to 42 U.S.C. §§ 1981, 1983, 1985, 1986, and 1988 and 28 U.S.C. §§ 1331 and 1343(3), to seek redress for the fatal shooting of his son, Edward Eugene Garner, by an officer of the Memphis Police Department. Named as defendants were the Memphis Police Department; City of Memphis, Tennessee; Wyeth Chandler, Mayor of Memphis; Jay W. Hubbard, Director of the Memphis Police Department; and E.R. Hyman, Police Officer of the City of Memphis.

The complaint alleged that defendant Officer Hyman violated the constitutional rights of Edward Eugene Garner when he shot and killed Garner in an attempt to apprehend him while fleeing from a private residence in Memphis. The other defendants were sued on grounds that their failure to exercise due care in hiring, training, and supervision of defendant Hyman made them equally

responsible for Garner's death. All defendants were also sued on the grounds that use or authorization to use the "hollow point" bullet further caused the deprivation of Garner's rights under the Constitution and laws of the United States.

On September 18, 1975, defendants filed their Answer denying liability, any violation of the Federal Civil Rights Statutes, and any deprivation of the deceased's constitutional rights. In further answering, defendants alleged that the actions of defendant Officer Hyman were governed by Tenn. Code Ann. § 40-7-108.

Trial was held on August 2-4, 1976, without the intervention of a jury. At the conclusion of the plaintiff's proof on August 4, 1976, the district court granted a directed verdict for defendants Hubbard and Chandler, and a partial directed verdict as to the City of Memphis and the Memphis Police Department with respect to hiring practices.

On September 29, 1976, the district court found in favor of all defendants on all issues. On appeal, the United States Court of Appeals for the Sixth Circuit affirmed the judgment of the district court dismissing the case against the individual defendants. However, the case was remanded as against the City of Memphis for reconsideration in light of *Monell v. Department of Social Services*, 436 U.S. 658 (1978). The court instructed the district court to consider the following questions, among others, on remand:

1. Whether a municipality has qualified immunity or privilege based on good faith under *Monell*.

2. If not, is a municipality's use of deadly force under Tennessee law to capture allegedly nondangerous felons fleeing from non-violent crimes constitutionally permissible under the Fourth, Sixth, Eighth, and Fourteenth Amendments?

On remand, the trial court ordered memoranda and oral argument on the issue of whether the trial should be reopened. By order dated February 29, 1980, the court denied further hearings and dismissed the case on the merits, holding that the constitutional claims had already been fully adjudicated. Because there had been no constitutional violation, the holding of *Monell* that cities could be liable for violations occurring pursuant to a policy or custom of the city did not require a different result. Plaintiff's motion for reconsideration was granted and he was allowed to submit further briefs and make an offer of proof. The Judge considered the offer of proof and once again ruled against plaintiff in a written opinion dated July 8, 1981. The court held that the wisdom of a statute permitting the use of deadly force against all fleeing felons was a matter of policy for the legislature rather than the judiciary, and that the Tennessee statute was neither unconstitutional on its face, nor as applied by the police officer in this case.

An appeal was again taken to the Sixth Circuit Court of Appeals. In its opinion, the Court of Appeals determined that Tenn. Code Ann. § 40-7-108 was violative of the Fourth and Fourteenth Amendments to the United States Constitution. The court found that insofar as Tenn. Code Ann. § 40-7-108 would permit the use of deadly force against a nondangerous felony suspect fleeing a

nonviolent felony, the statute permitted an unreasonable and excessive seizure of the person. The court went on to hold that the due process provisions of the Fourth and Fourteenth Amendments prohibit deadly force except where the officer has probable cause to believe that the felon is dangerous or has committed a violent crime. The case was remanded for further proceedings consistent with the opinion.

The City of Memphis filed a Writ of Certiorari to this Court, which was granted at 465 U.S. 1098 (1984). In 1985, this Court affirmed the ruling of the Sixth Circuit Court of Appeals pertaining to the fleeing felon rule, holding that the Tennessee statute was unconstitutional insofar as it authorized the use of deadly force against unarmed and nondangerous fleeing suspects. *Tennessee v. Garner*, 471 U.S. 1, 11 (1985). This Court went on to hold that "[T]he possible liability of the remaining Defendants – the Police Department and the City of Memphis – hinges on *Monell v. New York City Department of Social Services*, 436 U.S. 658, 98 S.Ct. 2818, 56 L.Ed.2d 611 (1978), and is left for remand." *Tennessee v. Garner*, 471 U.S. at 23. This Court further concluded that "[A]s for the policy of the Police Department, the absence of any discussion of this issue by the courts below, and the uncertain state of the record, preclude any consideration of its validity." *Tennessee v. Garner. Id.*

On remand, plaintiff filed a motion for partial summary judgment as to liability of the City of Memphis and Memphis Police Department, which was opposed by defendants based upon a subsequent decision by the Court of Appeals in *Carter v. City of Chattanooga, Tenn.*, 850 F.2d 1119 (6th Cir. 1988) (en banc), *cert. denied*, 488

U.S. 1010 (1989). The district court denied the plaintiff's motion for partial summary judgment, granted summary judgment for the defendants and dismissed the case.

The plaintiff appealed, and in a split decision, the Court of Appeals reversed, granting plaintiff's motion for partial summary judgment and remanded the case for a hearing on damages.

REASONS FOR GRANTING CERTIORARI

I.

In *Monell*, this Court held that there are three (3) elements necessary to establish liability on the part of the municipality: (1) an official "policy or custom", (2) created by the city or department, (3) the execution or implementation of which caused the particular constitutional injury. *Monell*, 436 U.S. at 694. The Court of Appeals, speaking through the majority, found that all of the elements, including causation, were present, thus establishing liability on the City. They held that the City had a policy which was the "moving force of the constitutional violation". *Id.* In so ruling, the court decided a question pursuant to 42 U.S.C. § 1983 in a way that conflicts with this Court's holding in *Monell*.

The Departmental Order established by the City of Memphis was predicated on the Tennessee fleeing felon statute which had passed the test of time, not only by the courts of the State, but also federal courts deciding cases pursuant to § 1983. *Reneau v. State*, 70 Tenn. 720 (1879); *Love v. Bass*, 145 Tenn. 522, 238 S.W. 94 (1921); *Scarbrough v. State*, 168 Tenn. 134, 76 S.W.2d 106 (1934); *Johnson v.*

State, 173 Tenn. 134, 114 S.W.2d 819 (1938); *Cunningham v. Ellington*, 323 F. Supp. 1072 (W.D. Tenn. 1971); *Beech v. Melancon*, 465 F.2d 425 (6th Cir. 1972), *cert. denied*, 409 U.S. 1114 (1973); *Qualls v. Parrish*, 534 F.2d 690 (6th Cir. 1976); *Wiley v. Memphis Police Department*, 548 F.2d 1247 (6th Cir. 1977), *cert. denied*, 434 U.S. 822 (1977); and *State v. Boles*, 598 S.W.2d 821 (Tenn. Crim. App. 1980).

The City's Departmental Order was not in reality a "policy or custom" pursuant to *Monell*, but an extension of well-settled law. Furthermore, even if the City's order is considered a policy, since the officer involved was merely implementing or executing a long existing statute and common law rule, it cannot be said that the policy was the moving force of the constitutional violation.

II.

Following this Court's opinion in *Garner*, 471 U.S. at 1, the Sixth Circuit Court of Appeals, sitting en banc, decided *Carter v. City of Chattanooga*, 850 F.2d at 1119. In a similar factual situation involving the Tennessee fleeing felon statute, the court in *Carter* held that where a city was acting in reliance upon well-established law in carrying out its police powers, there would be no liability based upon an unforeseen change in the law. The court concluded that a city should not be deterred in the exercise of what it has good reason to believe is constitutional conduct in the face of repeated court challenges and that "this was more than merely exercising good faith; it was acting in reliance on what appeared over many years to have been valid and proper state-directed police conduct and policy". *Id.* at 1131. The *Carter* Court went on to

reason that to attach liability against the City of Chattanooga would not only be inequitable, but would have "little, if any, effect of furthering the deterrent goal of *Garner*". *Id.* at 1130.

By holding that the City does not have a good faith reliance upon previous judicial decisions, the Sixth Circuit, in its majority opinion, decided an important question of federal law which conflicts with the law in its own circuit as established in *Carter*, and should be settled by this Court.

III.

In *Carter*, the court declined to apply *Garner* retroactively. The reasoning in *Carter* as to why the *Garner* rule should not be applied retroactively holds true in the instant case. However, the Court of Appeals, in rejecting the non-retroactivity analysis in *Carter*, holds that it is basic that a rule should be applied retroactively in the very case that establishes the rule. The "law of the case" doctrine would ordinarily apply except for the fact that following the remand by this Court for a determination of the City's liability under *Monell*, the *Carter* case was decided. *Carter* establishes an exception to the doctrine since a "controlling authority has since made a contrary decision of law applicable to such issues." *Coal Resources, Inc. v. Gulf & Western Industries*, 865 F.2d 761, 776 (6th Cir. 1989).

The holding of the Sixth Circuit that *Garner* is to be applied retroactively is in conflict with decisions in the Third and Tenth Circuits. *See, e.g., Rodriguez v. City of Passaic*, 730 F. Supp. 1314 (D.N.J. 1990), *aff'd.*, 914 F.2d 244

(3rd Cir. 1990); and *Mitchell v. City of Sapulpa*, 857 F.2d 713 (10th Cir. 1988).

IV.

The officer involved in the shooting in this case was exonerated on evidence that his actions were reasonable and that he followed established guidelines. In *City of Los Angeles v. Heller*, 475 U.S. 796, 799 (1986), this Court held that if there has been no constitutional injury at the hands of the police officer "the fact that the departmental regulations might have authorized the use of constitutionally excessive force is quite beside the point". The facts in *Heller* are similar in that the evidence shows that the actions of the police officer were not unreasonable and that he was merely obeying orders and following established police department policy. *Heller*, 475 U.S. at 803 (Stevens, J., dissenting). The factual similarity between the instant case and *Heller* indicates that the Court of Appeals has decided a federal question in a way that conflicts with an applicable decision of this Court. The City should be absolved of liability.

Although there was no such ruling at the trial level, the Court of Appeals concluded that the officer's dismissal was not based upon the absence of a constitutional violation, but because he was protected by the doctrine of qualified immunity. (A18). The court cites *Doe v. Sullivan County, Tenn.*, 956 F.2d 545, 554 (6th Cir. 1992), *cert. denied*, 113 S.Ct. 187 (1992) for the proposition that in the Sixth Circuit a municipality may not escape liability for a § 1983 violation where the officer involved is entitled to qualified immunity. This ruling by the Sixth Circuit is in

conflict with a decision from the Third Circuit, which holds to the contrary. *See, e.g., Rodriguez v. City of Passaic*, 730 F. Supp. at 1327-28, *aff'd.*, 914 F.2d 244 (3rd Cir. 1990).

CONCLUSION

For all the foregoing reasons, Petitioners pray that a Writ of Certiorari issue to review the judgment and decision of the United States Court of Appeals for the Sixth Circuit in this case.

Respectfully submitted,

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APPENDIX

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TENNESSEE
WESTERN DIVISION

CLEAMTEE GARNER, Father and next-of-kin of EDWARD EUGENE GARNER, a deceased Minor, Plaintiff, v. MEMPHIS POLICE DEPARTMENT, et al., Defendants.	]]]]]]]]]	No. C-75-145-H

ORDER DENYING PLAINTIFF'S MOTION FOR PARTIAL SUMMARY JUDGMENT GRANTING SUMMARY JUDGMENT FOR THE DEFENDANTS AND DISMISSING CASE

(Filed Jun. 30, 1992)

This case is presently before the court on remand from the United States Supreme Court's decision in *Tennessee v. Garner*, 471 U.S. 1, 105 S.Ct. 1694, 85 L.Ed.2d 1 (1985), affirming the Sixth Circuit Court of Appeals' decision in *Garner v. Memphis Police Dept.*, 710 F.2d 240 (6th Cir. 1983).[1] Plaintiff has filed a motion for partial summary judgment as to the liability of the two remaining

[1] This decision is referred to as the *Garner II*. *Garner v. City of Memphis*, 600 F.2d 52 (6th Cir. 1972) is referred to as *Garner I*.

defendants in this case, the City of Memphis and the Memphis Police Department. Defendants oppose the motion and, by supplemental response, argue that the Sixth Circuit's subsequent decision in *Carter v. City of Chattanooga, Tenn.*, 850 F.2d 1119 (6th Cir. 1988) (*en banc*) (*cert. denied*, 488 U.S. 1010, 109 S.Ct. 795 (1989)), requires that judgment be entered for defendants, and that the case be dismissed. This court agrees.

The facts of this case have been clearly set out in the previous decisions by the Supreme Court, the Sixth Circuit Court of Appeals and this court. Accordingly, the court will not repeat them. Furthermore, it is worth mentioning at this point that the relevant facts concerning the shooting in this case and in the *Carter* case are strikingly similar, thereby lending additional support for this decision.

In analyzing the issue of defendants' liability, the court begins with the Supreme Court's decision in *Tennessee v. Garner, supra.* There the Court stated that

> We wish to make clear what our holding means in the context of this case . . . We hold that the statute is invalid insofar as it purported to give Hymon the authority to act as he did. As for the policy of the Police Department, the absence of any discussion of this issue by the courts below, and the uncertain state of the record, preclude any consideration of its validity.

471 U.S. at 23.

Based on the above and the *Carter* decision, the court looks upon the panel decision in *Garner II* with some hesitancy where the Court stated that "there is not good

faith immunity for municipalities under § 1983." 710 F.2d at 248. To the contrary, the Court in *Carter* stated that

> in applying the *Chevron Oil* analysis in this case, we conclude that *Garner II* and *Tennessee v. Garner* should not have been retroactively applied to the City of Chattanooga. On that basis, the defendant City would be entitled to judgment as a matter of law . . . He [*the Judge*] *should have granted judgment as a matter of law to the City because it was not at the time following a clearly established unlawful or unconstitutional policy.*

850 F.2d at 1137.

Upon considerable reflection, the court can find no basis upon which to distinguish the *Carter* decision from this case and the issue presently before court. It seems the *Carter* decision in effect overturned that part of the *Garner II* decision, holding that municipalities do not have a defense to a § 1983 claim based on their reliance on a policy or course of conduct previously determined to be within constitutional limits.

For the reasons stated in this opinion and in *Carter v. City of Chattanooga, Tenn.*, 850 F.2d 1119 (6th Cir. 1988) (*en banc*) (*cert. denied*, 488 U.S. 1010, 109 S.Ct. 795 (1989)), the court hereby DENIES plaintiff's motion for partial summary judgment. For the same reasons, the court enters summary judgment for the remaining defendants, the City of Memphis and the Memphis Police Department. This case is therefore DISMISSED and closed.

It is so ORDERED this the 30 day of June, 1992.

/s/ Odell Horton
ODELL HORTON,
CHIEF JUDGE
UNITED STATES
DISTRICT COURT

RECOMMENDED FOR FULL-TEXT PUBLICATION
Pursuant to Sixth Circuit Rule 24

No. 92-6196

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

CLEAMTEE GARNER, Father and next-of-kin of EDWARD EUGENE GARNER, a deceased minor, *Plaintiff-Appellant,* *v.* MEMPHIS POLICE DEPARTMENT; CITY OF MEMPHIS, TENNESSEE, *Defendants-Appellees.*	))))))))))	ON APPEAL from the United States District Court for the Western District of Tennessee

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Decided and Filed October 22, 1993

Before: MERRITT, Chief Judge; and KEITH and SUHRHEINRICH, Circuit Judges.

MERRITT, Chief Judge, delivered the opinion of the court, in which KEITH, Circuit Judge, joined. SUHRHEINRICH, Circuit Judge (pp. 15-22), delivered a separate dissenting opinion.

MERRITT, Chief Judge. This is a wrongful death action brought under 42 U.S.C. § 1983 which has now been to this court three times and once to the Supreme Court. It involves the fatal shooting by a Memphis police

officer of a fifteen-year-old fleeing felony suspect under circumstances which this court and the Supreme Court have held violated the Fourth Amendment right to be free from unreasonable seizure. *Garner v. Memphis Police Dept.*, 710 F.2d 240 (6th Cir. 1983); *Tennessee v. Garner*, 471 U.S. 1 (1985). The case was back before the district court on remand from the Supreme Court for consideration of whether the shooting occurred pursuant to municipal "policy" under *Monell v. New York City Dept. of Social Services*, 436 U.S. 658 (1978). On remand, the district court held that the Supreme Court's ruling in this case should not be applied retroactively to this case. Accordingly, the court entered summary judgment in favor of the defendants, and denied plaintiff's motion for partial summary judgment on the issue of liability. Plaintiff appeals both rulings. We reverse the district court's grant of summary judgment in favor of the defendants and its denial of plaintiff's partial summary judgment motion. We also remand for entry of an order granting plaintiff's motion for partial summary judgment and for a determination of damages.

I.

On the night of October 3, 1974, a fifteen-year-old, unarmed boy broke a window and entered an unoccupied residence in suburban Memphis to steal money and property. Two police officers, Elton Hymon and Leslie Wright, were called to the scene by a neighbor. The officers intercepted the youth as he ran from the back of the house to a six foot cyclone fence in the back yard. After shining a flashlight on the boy as he crouched by the fence, Officer Hymon identified himself as a policeman and yelled

"Halt." He could see that the fleeing felony was a youth and was apparently unarmed. As the boy jumped to get over the fence, the officer fired at the upper part of the body, as he was trained to do by his superiors at the Memphis Police Department. He shot because he believed the boy would elude capture in the dark once he was over the fence. The youth died of the gunshot wound. On his person was ten dollars worth of money and jewelry he had taken from the house.

Officer Hymon had been taught that it was proper under Tennessee law to kill a fleeing felon rather than run the risk of allowing him to escape. A Tennessee statute provided that "[i]f, after notice of the intention to arrest the defendant, he either flee or forcibly resist, the officer may use all the necessary means to effect the arrest." Tenn. Code Ann. § 40-7-108.[1] The Memphis Police Department's policy on use of deadly force was slightly more restrictive than the statute, but still allowed the use of deadly force in cases of burglary. *Tennessee v. Garner*, 471 U.S. at 5. The Police Department's policy was promulgated by the Department's Director, with the consultation and approval of the Mayor.

Decedent's father filed this action under 42 U.S.C. § 1983 to recover damages for wrongful death caused by claimed constitutional violations of the Fourth, Eighth and Fourteenth Amendments. The complaint named as defendants Officer Hymon, the Police Department, its

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[1] At the time of the shooting, this statement was codified at Tenn. Code Ann. § 40-808.

Director, the City of Memphis, and the Mayor of Memphis. After a three day bench trial, the district court dismissed the claims against the Director and the Mayor for lack of evidence. It also dismissed the claims against Officer Hymon, holding that his actions were authorized by the Tennessee statute, which the court held was constitutional. In accordance with *Monroe v. Pape*, 365 U.S. 167 (1961), the district court also dismissed the claims against the City and the Police Department holding that a city is not a "person" subject to suit under § 1983.

On appeal, this court affirmed the district court's dismissal of the individual defendants, holding that they were protected by the doctrine of qualified immunity because they acted in good faith reliance on Tenn. Code Ann. § 40-7-108. *Garner v. Memphis Police Dept.*, 600 F.2d 52 (6th Cir. 1979) (*Garner I*). We also remanded for reconsideration of the possible liability of the City and Police Department in light of *Monell v. Department of Social Services*, 436 U.S. 658 (1978), which had come down after the district court's decision.

Because *Monell* held that a city may be liable in damages under § 1983 for constitutional deprivations that result from a "policy or custom" followed by the city, 436 U.S. at 694, 700-01, we instructed the district court to consider the following: (1) whether a municipality enjoys qualified immunity; (2) whether the use of deadly force was unconstitutional under the circumstances; (3) whether the use of hollow point bullets was unconstitutional; and (4) whether any unconstitutional municipal conduct flowed from a "policy or custom" under *Monell*. *Garner I*, 600 F.2d at 54-55.

On remand, the district court ordered memoranda and oral argument on the issue of whether the trial should be reopened. Upon consideration of the parties' submissions, the court denied further hearings and dismissed the case on the merits, holding that the constitutional claims had already been fully adjudicated. Because there had been no constitutional violation, the holding of *Monell* that cities could be liable for violations occurring pursuant to a policy or custom of the city did not require a different result. Plaintiff's motion for reconsideration was granted and he was allowed to submit further briefs and make an offer of proof. The court considered the offer of proof and once again ruled against plaintiffs. It held that the wisdom of a statute permitting the use of deadly force against all fleeing felons was a matter of policy for the legislature rather than the judiciary, and that the Tennessee statute was not unconstitutional on its face, nor as applied by the police officer in this case.

Addressing the question of the City's good faith immunity, the district court held that *Owen v. City of Independence*, 445 U.S. 622 (1980), prevented the City from claiming immunity from liability based on the good faith of its agent. Nevertheless, it found that it was still an open question whether the City might claim immunity if the City itself was relying in good faith on the Tennessee law as interpreted by the federal and state courts. The district court did not believe it necessary to address the constitutionality of the use of hollow point bullets, because it found that there was no causal connection between the use of hollow point bullets and Garner's death.

We reversed and remanded, holding that Memphis' deadly force policy, as applied to this case, violated the Fourth Amendment right to be free from unreasonable seizures. *Garner v. Memphis Police Dept.*, 710 F.2d 240, 246 (6th Cir. 1983) (*Garner II*). We held that police officers cannot resort to deadly force to apprehend fleeing felons unless they have "probable cause—an objective, reasonable basis in fact to believe that the felon is dangerous or has committed a violent crime." *Id.* The Supreme Court granted certiorari and affirmed. The Court held that the Tennessee statute "is invalid insofar as it purported to give Hymon the authority to act as he did." *Tennessee v. Garner*, 471 U.S. at 22. Eight years ago the case was remanded to the district court for resolution of the *Monell* issue, and there is languished.

On remand, plaintiff filed a motion for partial summary judgment on the issue of the liability of the City and Police Department under *Monell*. Plaintiff attached two exhibits to the motion. The first was a copy of General Order No. 5-74, the Police Department policy statement authorizing use of deadly force in certain circumstances. The second exhibit was deposition testimony by the Mayor of Memphis, in which the Mayor states that he considered whether all types of burglary should be included on the list of felonies justifying the use of deadly force, and concluded that they should. Defendants responded to the motion by asserting various legal arguments; they did not submit any additional evidence.

Last year, the district court finally denied plaintiff's motion for partial summary judgment and granted summary judgment in favor of the defendants, holding that, under *Carter v. City of Chattanooga*, 850 F.2d 1119 (6th Cir.

1988) (en banc), cert. denied, 488 U.S. 1010 (1989), the Supreme Court's ruling in *Tennessee v. Garner* should not be applied retroactively to the parties. The court then dismissed the case. Plaintiff filed this timely appeal, challenging both the grant of summary judgment in favor of the defendants and the denial of plaintiff's motion for summary judgment.

II.

We first consider the district court's holding that defendants are not liable because of their good faith reliance on previous judicial determinations that their fleeing felon policy was constitutional. The court based its decision upon *Carter v. City of Chattanooga, Tenn.*, 850 F.2d 1119 (6th Cir. 1988) (en banc), *cert. denied*, 488 U.S. 1010 (1989). In *Carter*, a case factually similar to this one, this court held that "*Tennessee v. Garner* should not have been retroactively applied to the City of Chattanooga. . . . [The City] was not at the time following a clearly established unlawful or unconstitutional policy." *Id.* at 1137. *Carter's* retroactivity analysis is clearly not relevant to the instant case. In *Tennessee v. Garner*, the Supreme Court applied its ruling retroactively to these parties. Neither this court nor the district court is free to reexamine the Supreme Court's ruling and reach a different conclusion. Moreover, this case is itself the case in which the Supreme Court declared the fleeing felon rule, and it is hornbook law that the rule should be applied retroactively in the very case that lays down a rule. Otherwise parties would have no incentive to argue for such a rule because they would get no benefit from winning the case.

Although the Supreme Court did not explicitly state that its holding was to be applied retroactively to the parties before the Court, the Court's intent is unmistakable:

> We wish to make clear *what our holding means in the context of this case*. . . . The possible liability of the remaining defendants—the Police Department and the city of Memphis—hinges on *Monell v. New York City Dept. of Social Services*, 436 U.S. 658 (1978), and is left for remand. We hold that the statute is invalid insofar as it purported to give Hymon the authority to act as he did. As for the policy of the Police Department, the absence of any discussion of this issue by the courts below, and the uncertain state of the record, preclude any consideration of its validity.

Tennessee v. Garner, 471 U.S. at 22 (emphasis added).

The conclusion that the Supreme Court intended its holding to be applied to the parties before the Court is compelled by the reasoning of *James B. Beam Distilling Co. v. Georgia*, 111 S. Ct. 2439 (199). In *Beam*, the Court considered whether its holding in *Bacchus Imports v. Dias*, 468 U.S. 263 (1984), should be retroactively applied in other cases pending when *Bacchus* was decided. As in *Tennessee v. Garner*, the Supreme Court in *Bacchus* had remanded the case to the district court for further proceedings without explicitly stating that the rule established in the case was to be applied retroactively to the parties before the Court. The *Beam* court held unanimously that *Bacchus'* silence on the retroactivity issue indicated that the Court intended to follow the usual practice of applying its decision to the parties before it. *See Beam*, 111 S. Ct. at

2445 (plurality opinion) ("Because the *Bacchus* opinion did not reserve the question whether its holding should be applied to the parties before it . . . it is properly understood to have followed the normal rule of retroactive application in civil cases."); *see also id.* at 2451 (O'Connor, J., dissenting) ("I agree that the Court in *Bacchus* applied its rule retroactively to the parties before it. The *Bacchus* opinion is silent on the retroactivity question. Given that the usual course in cases before this Court is to apply the rule announced to the parties in the case, the most reasonable reading of silence is that the Court followed its customary practice."). The same reasoning applies to *Tennessee v. Garner.*

The Supreme Court intended its ruling in this case to be applied retroactively to the parties. The defendants do not, therefore, have a non-retroactivity defense based upon their good faith reliance upon previous judicial decisions. Because the district court's grant of summary judgment in favor of the defendants was based solely upon a retroactivity analysis, the court's ruling must be reversed.

III.

We now consider the district court's denial of plaintiff's motion for partial summary judgment on the question of liability. A denial of summary judgment is an interlocutory order, not ordinarily subject to appeal. *Nazay v. Miller,* 949 F.2d 1323, 1328 (3rd Cir. 1991). Where, however, an appeal from a denial of summary judgment is presented in tandem with an appeal from a grant of summary judgment, this court has jurisdiction to review

the propriety of the district court's denial of summary judgment. *Id.*

Plaintiff's motion for partial summary judgment asserts that there remain no genuine issues of material fact, and that the law clearly establishes defendants' liability. Defendants' response to the summary judgment motion raises only legal arguments and does not assert that there remain genuine issues of material fact. Where a motion for summary judgment is denied on the grounds that there exists a genuine issue of material fact, review of this ruling is under the abuse of discretion standard. *Pinney Dock and Transport Co. v. Penn Cent. Corp.*, 838 F.2d 1445, 1472 (6th Cir.), *cert. denied*, 488 U.S. 880 (1988). Where, however, a denial of summary judgment is based solely upon legal grounds, review is de novo. *See Eugene D. v. Karman*, 889 F.2d 701, 706 (6th Cir. 1989), *cert. denied*, 496 U.S. 931 (1990). Because the district court denied plaintiff's partial summary judgment motion solely upon legal grounds, we review this denial de novo.

As the Supreme Court stated, defendants' liability hinges on Monell. *Tennessee v. Garner*, 471 U.S. at 22. In *Monell*, the Supreme Court overruled *Monroe v. Pape*, 365 U.S 167 (1961), and held that municipalities are "persons" subject to suit under § 1983. *Monell*, 436 U.S. at 700-01. Municipalities are not, however, liable for every misdeed of their employees and agents. "Instead, it is when execution of a government's policy or custom, whether made by its lawmakers or by those whose edicts or acts may fairly be said to represent official policy, inflicts the injury that the government as an entity is responsible under § 1983." *Id.* at 694. This circuit has stated that to satisfy the Monell requirements a plaintiff must "identify the

policy, connect the policy to the city itself and show that the particular injury was incurred because of the execution of that policy." *Coogan v. City of Wixom*, 820 F.2d 170, 176 (6th Cir. 1987) (adopting the test articulated in *Bennett v. City of Slidell*, 728 F.2d 762, 767 (5th Cir. 1984) (en banc), *cert. denied*, 472 U.S. 1016 (1985)). Plaintiff has met this standard.

First, it is clear that the defendants had a policy authorizing use of deadly force when necessary to apprehend a fleeing burglary suspect. Memphis Police Department General Order 5-74(3)(b)(3) provides:

> (3) Other Felonies Where Deadly Force is Authorized.
>
> After all reasonable means of preventing or apprehending a suspect have been exhausted, DEADLY FORCE is authorized in the following crimes:
>
> (a) Kidnapping
> (b) Murder in the 1st or 2nd degree
> (c) Manslaughter
> (d) Arson (Including the use of firebombs)
> (e) Rape
> (f) Assault and battery with intent to carnally know a child under 12 years of age
> (g) Assault and battery with intent to commit rape
> (h) *Burglary in the 1st, 2nd, or 3rd degree*
> (i) Assault to commit murder in the 1st or 2nd degree

(j) Assault to commit voluntary manslaughter
(k) Armed and simple robbery

App. 81 (emphasis added). This order was signed by the Director of the Memphis police. The Mayor of Memphis testified in a deposition that he was also involved in the decision to include all types of burglary on the list of felonies justifying use of deadly force: "One of the arguments was to eliminate burglary and this type thing, some kinds of burglary, which I did not do. I did not think it should be done." App. 103. Defendants do not contest that General Order 5-74 represented the official policy of the Memphis Police Department and the City of Memphis.

Defendants argue instead that it was not a policy under the *Monell* line of cases because it did not represent a "deliberate choice to follow a course of action . . . from among various alternatives." *Pembaur v. City of Cincinnati*, 475 U.S. 469, 483 (1986). In devising their fleeing felony policy, defendants relied on a Tennessee statute, Tenn. Code Ann. § 40-7-108:

> *Resistance to Officer* – If after notice of the intention to arrest the defendant, he either flee or forcibly resist, the officer may use all the necessary means to effect the arrest.

Defendants argue that they had no choice but to follow this statute.

This argument is without merit. The defendants were bound to follow the statute in that they could not adopt a more permissive deadly force policy by, for example, eliminating the requirement that an officer give notice of an intention to arrest before employing deadly force. The

statute did not, however, prevent the defendants from adopting a more restrictive deadly force policy. In fact, defendants did exercise their freedom to choose a more restrictive policy, refusing to authorize use of deadly force to apprehend certain non-violent felony suspects such as embezzlers and frauds. App. 93; *see also Tennessee v. Garner*, 471 U.S. at 5 (noting that Memphis' policy was slightly more restrictive than the Tennessee statute). Defendants' decision to authorize use of deadly force to apprehend nondangerous fleeing burglary suspects was, therefore, a deliberate choice from among various alternatives under *Pembaur*.

Having identified the policy and connected it to the defendants, plaintiff need only show that the policy caused the injury complained of, the death of plaintiff's son. *See Coogan*, 820 F.2d at 176. Defendants did not in their briefs or at oral argument contest the causation issue. The Police Department taught Officer Hymon that it was proper to shoot a fleeing burglary suspect in order to prevent escape. That was their policy. *Garner I*, 600 F.2d at 53. As the Supreme Court stated in this case, "Hymon was acting under the authority of a Tennessee statute and pursuant to Police Department policy." *Tennessee v. Garner*, 471 U.S. at 4. Thus, there is a sufficient link between defendants' deadly force policy and Hymon's actions to establish that the policy was the "moving force of the constitutional violation." *See Monell*, 436 U.S. at 694. Plaintiff has satisfied all of the Monell requirements.

Defendants raise two additional objections to plaintiff's motion for partial summary judgment. First, they argue that the Police Department and the City should be

dismissed from the case because the district court dismissed Officer Hymon, finding that he had not committed a constitutional violation. The district court found that Officer Hymon "acted within his duties as a reasonable police officer without malice, predisposition or racial animus and within the guidelines afforded him as a Memphis policeman." Defendants rely upon *City of Los Angeles v. Heller*, 475 U.S. 796 (1986), in which the Court upheld the district court's dismissal of the City of Los Angeles and its Police Commission, holding that "[i]f a person has suffered no constitutional injury at the hands of the individual police officer, the fact that the departmental regulations might have *authorized* the use of constitutionally excessive force is quite beside the point." *Id.* at 799.

Defendants' reliance on *Heller* is misplaced. The point in *Heller* was that the city could not be held responsible for a constitutional violation which could have occurred but did not. In the instant case there is no doubt that a constitutional violation occurred. "A police officer may not seize an unarmed, nondangerous suspect by shooting him dead. The Tennessee statute is unconstitutional insofar as it authorizes the use of deadly force against such fleeing suspects." *Tennessee v. Garner*, 471 U.S. at 11. The district court's holding to the contrary was overturned by this court, and the Supreme Court affirmed. *Garner II*, 710 F.2d 240; Tennessee v. Garnery [sic], 471 U.S. 1.

This court upheld Officer Hymon's dismissal from the case not because he committed no constitutional violation, but because he was protected by the doctrine of qualified immunity. *Tennessee v. Garner*, 471 U.S. at 5; *Garner II*, 710 F.2d at 242; *Garner I*, 600 F.2d at 54. Under

the law of this circuit, a municipality may not escape liability for a § 1983 violation merely because the officer who committed the violation is entitled to qualified immunity. *Doe v. Sullivan County, Tenn.*, 956 F.2d 545, 554 (6th Cir.), *cert. denied*, 113 S. Ct. 187 (1992).

Defendants' final argument is that a municipality may be held liable under § 1983 only if its policy reflects "deliberate indifference" to constitutional rights under City of Canton, *Ohio v. Harris*, 489 U.S. 378, 392 (1989). They argue that they were not "indifferent" to the constitutional rights of fleeing felony suspects, but developed their policy in the good faith belief that it was within constitutional limits. *Harris* addressed the question under what circumstances a municipality is liable "if a concededly valid policy is unconstitutionally applied by a municipal employee" because of the municipality's failure to adequately train that employee. *Harris*, 489 U.S. at 387.

Harris is a "failure to train" case, and is not on point. The "deliberate indifference" test is employed to determine when "inadequate training can justifiably be said to represent 'city policy.' " *Id.* at 390. In the instant case there is no question that General Order 5-74 can justifiably be said to represent City policy. The distinction between the type of case to which *Harris* is properly applied and the case at bar is apparent from the follow [sic] passage from *Harris*, in which the Court illustrates how the "deliberate indifference" test would be applied in a variation on the *Tennessee v. Garner* fact pattern:

> For example, city policymakers know to a moral certainty that their police officers will be required to arrest fleeing felons. The city has

> armed its officers with firearms, in part to allow them to accomplish this task. Thus, the need to train officers in the constitutional limitations on the use of deadly force, see *Tennessee v. Garner*, 471 U.S. 1 (1985), can be said to be "so obvious," that failure to do so could properly be characterized as "deliberate indifference" to constitutional rights.

Id. at 390 n.10. This case is not a variation on *Tennessee v. Garner*, it is *Tennessee v. Garner*. There is no need for an elaborate analysis of whether the defendants' failure to act was the result of such "deliberate indifference" that it was essentially a matter of policy. Far from failing to train their officers in the constitutional limitations on the use of deadly force, defendants trained their officers to exceed these limitations. That the defendants did not intend to violate the Constitution is no defense. In *Harris*, "deliberate indifference" refers to indifference to injuries likely to result from a failure to act, not indifference to whether such injuries constitute deprivation of a constitutional right.

Having decided that plaintiff's motion for partial summary judgment should have been granted, the only remaining question is the appropriate disposition of the case. Under 28 U.S.C. § 2106, this court has jurisdiction to "remand the cause and direct the entry of such appropriate judgment, decree, or order, or require such further proceedings to be had as may be just under the circumstances." In a case such as this, where both sides have had an opportunity to present evidence, the facts are uncontroverted, and the proper disposition is clear, this court may direct the entry of summary judgment. *See Nazay*, 949 F.2d at 1328; *Weber v. Dell*, 804 F.2d 796, 798 n.2

(2d Cir. 1986), *cert. denied*, 483 U.S. 1020 (1987); *Morgan Guaranty Trust Co. v. Martin*, 466 F.2d 593, 600 (7th Cir. 1972).

Accordingly, we REVERSE the district court's grant of summary judgment in favor of the defendants and its denial of plaintiff's partial summary judgment motion. We also REMAND with directions that the district court enter an order of partial summary judgment in favor of the plaintiff on the issue of liability. The only issue remaining for the district court to resolve upon remand is the appropriate measure of damages.

SUHRHEINRICH, Circuit Judge, dissenting. The majority reverses the district court's decision and grants summary judgment in favor of Garner on the issue of liability. Because I believe that the majority's actions ignore the facts of this case and the law of this circuit, I must respectfully dissent.

I.

This case began eighteen years ago when Officer Hymon, a young black policeman with the Memphis Police Department, shot and killed Edward Garner, another young black man who, though unarmed, was attempting to avoid arrest for the burglary he had just committed. Officer Hymon's decision, made in a split second and under potentially-threatening conditions, has been evaluated and re-evaluated in courtrooms from the Western District of Tennessee to the United States Supreme Court.

This litigation has spanned and, in some instances, created many of the most profound changes in the law surrounding actions brought under 42 U.S.C. § 1983. In 1975, when this suit was filed, it was absolutely clear that the City and the Police Department, the only remaining defendants in this case, could not even be sued under § 1983.[1] Moreover, it was virtually undisputed that a police officer could use all reasonably necessary force, including deadly force, to stop a fleeing felon. The Tennessee legislature adopted the common law "fleeing felon" rule before the turn of this century, Tenn. Code Ann. § 40-7-108, and that statute had withstood repeated state and federal judicial scrutiny.

Officer Hymon's conduct was assailed as unconstitutional despite the fact that the Tennessee statute and the long-standing common law rule specifically authorized the use of deadly force in such circumstances. In 1976, the district court entered judgment in favor of the defendants on the grounds that the shooting of young Garner was not a violation of the United States Constitution.

This court affirmed the district court's judgment as to the individual defendants but remanded for reconsideration in light of *Monell v. Department of Social Services*, 436 U.S. 658 (1978), which had held that municipalities could

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[1] *See Monroe v. Pape*, 365 U.S. 167 (1961) (municipalities not "persons" within the meaning of § 1983), *overruled by Monell v. Department of Social Services*, 436 U.S. 658 (1978). Originally, the City and the Department, not amenable to suit under § 1983, were sued directly under the Fourth and Fourteenth Amendments and the general federal question statute, 28 U.S.C. § 1331, in a *Bivens*-style action. *See Bivens v. Six Unknown Named Agents*, 403 U.S. 388 (1971).

be sued under § 1983.[2] The district court again entered judgment for the City and the Department, again on the grounds that there had been no constitutional deprivation. This court again reversed, holding that Officer Hymon's actions violated the Fourth and Fourteenth Amendments.[3] That decision was affirmed by the United States Supreme Court and the case was once again remanded to the district court for consideration of the City's and Department's liability under *Monell*.[4] Again, the district court found no liability, this time on the grounds that intervening case law in this circuit prevents a city from being held liable for *"Garner"* shootings that occurred before the Supreme Court's ruling on that issue. Now, again, this court is determined to reverse.

A. *Monell*

It has been clear, at least since 1978, that liability in this case, if any, must be determined under *Monell*. The majority, continually dissatisfied with the district court's results, now enters summary judgment itself against the City and the Department on that basis. The majority errs, in my view, because the facts of this case, as presented in the record before us, do not support *Monell* liability.

The majority correctly sets forth the three elements of *Monell* liability: (1) an official "policy" or "custom" (2)

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[2] *Garner v. Memphis Police Dep't*, 600 F.2d 52 (6th Cir. 1979).

[3] *Garner v. Memphis Police Dep't*, 710 F.2d 240 (6th Cir. 1983) (hereinafter *"Garner II"*).

[4] *Tennessee v. Garner*, 465 U.S. 1098 (1985) (hereinafter *"Garner"*).

created by the city or department, (3) the execution or implementation of which caused the particular constitutional injury. Maj. Op. at 8. *See Monell*, 436 U.S. at 694. The majority identifies the policy upon which it would base liability, Memphis Police Department General Order 5-74-(3)(b)(3), and holds that it is the official product of departmental and city policymakers. Maj. Op. at 8-9. I have no quarrel with the majority over these assessments. The majority's error, I believe, lies with its analysis of the third *Monell* element:

> Having identified the policy and connected it to the defendants, plaintiff need only show that the policy *caused* the injury complained of, the death of the plaintiff's son. *Defendants do not contest the causation issue.*

Id. at 9 (emphasis added).

I disagree. The City and the Department have done nothing for the last eighteen years but contest the fact that this policy caused Garner's death. The defendants have consistently and urgently pleaded with this court and the district court to understand that Officer Hymon acted under the authority of Tennessee *state* law, a law that governed the conduct of all Tennessee law enforcement. Now, simply because the Department and the City took upon themselves the task of drafting a more restrictive—but, in the exercise of hindsight, still unconstitutional—policy, the majority is holding them liable.[5]

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[5] Given this court's decision in *Carter v. City of Chattanooga*, 850 F.2d 1119 (6th Cir. 1988) (en banc), *cert. denied*, 488 U.S. 1010 (1989), discussed *infra*, the City and the Department in this case will most assuredly be the *only* entities held liable for acting in

To hold the Department and the City liable, however, the majority must ignore the third *Monell* element: causation. The policy upon which the majority fixes liability is Departmental Order 5-74. The majority makes no mention of the fact that the order is dated February 5, 1974, only *eight months before* Officer Hymon shot Garner and more than *six months after* Officer Hymon's initial eight-week training course that he received upon joining the Department. There is *no* evidence that Officer Hymon was *ever* trained under Departmental Order 5-74. On the other hand, the record contains every indication that Officer Hymon was trained on the use of deadly force according to the guidelines established by the Tennessee legislature and the common law.

Even if the majority's assumption is correct, that between February and October of 1974, Officer Hymon was informed of Departmental Order 5-74, it is illogical to assume that he was instructed as to anything other than how the new policy *differed* from the state guidelines and common law rule he had already been taught.

It is not disputed that Officer Hymon's actions that night came within that portion of the fleeing felon rule that Departmental Order 5-74 left unchanged. He had been taught, before Departmental Order 5-74 was ever written, that he was allowed, even duty-bound, to use deadly force in such situations, provided it was reasonably necessary in order to effect the arrest. Officer

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reliance upon the hundreds of years of the fleeing felon rule, at least in this circuit.

Hymon was not "executing" or "implementing" Departmental Order 5-74 when he shot Garner; he was acting as he had been taught to act, in accordance with a one-hundred-and-sixteen-year-old statute and a common law rule that had existed for hundreds of years. Therefore, it cannot be said that the Department's policy was the "moving force of the constitutional violation."

The majority is impaled upon the horns of a dilemma. It cannot hold the City and the Department liable for *failing to draft* a constitutional policy or for *failing to train* its officers in the constitutional use of deadly force. These theories require a showing of the City's and the Department's "deliberate indifference" to the rights of arrestees. Such a showing is impossible in this case due to the centuries of approval courts had given the fleeing felon rule. Nor can the majority rest liability on the statute or the common law, the patently obvious "moving forces" here, because these cannot be fairly attributed to the City. The majority's only means of holding the City and the Department liable, therefore, is to assert that Departmental Order 5-74 killed Garner, despite the fact that there is no evidence to support a conclusion that Officer Hymon was "executing" or "implementing" that policy, rather than the statute or common law, when he shot *Garner*.[6]

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[6] Ironically, the majority cannot even assert that the Department is liable for failing to train its officers under the new policy in a more timely manner because the new policy made no change to the fleeing felon rule relevant to the shooting of Garner.

B. *Carter*

In 1982, after the shooting in this case but before the *Garner* decision, a Chattanooga police officer shot and killed a fleeing burglary suspect. *Carter v. City of Chattanooga*, 850 F.2d 119 (6th Cir. 1988) (en banc), *cert. denied*, 488 U.S. 1010 (1989). In *Carter*, therefore, neither the City of Chattanooga nor its police department had any more reason to believe that the common law rule or Section 40-7-108 of the Tennessee Code were unconstitutional than did the City and the Department in the case before us now. On those grounds, in *Carter*, a majority of this Court en banc held that the city was not liable for the unconstitutional shooting. The majority in this case, however, refuses to adhere to this holding.

The court in *Carter* reasoned that it would be pointless and inequitable to impose liability for a city's failure to foresee the Supreme Court's decision in *Garner*, because *Garner* "established a new and unexpected principle of law setting aside clear precedent, particularly in this circuit, on which the City of Chattanooga and its police officers *had a right to rely.*" *Carter*, 850 F.2d at 1129 (emphasis added). Pointless, because to impose liability would "have little, if any, effect of furthering the deterrent goal of *Garner.*" *Id.* at 1130. Inequitable, because the City of Chattanooga "was more than merely exercising good faith [as to a murky area of the law]; it was acting in reliance on what appeared over many years to have been valid and proper state directed police conduct and policy." *Id.* at 1131.

The district court, in the present case, found "no basis upon which to distinguish the *Carter* decision from

this case and the issue presently before the court." Accordingly, it entered summary judgment in favor of the City and the Department. The majority rejects the district court's conclusion and asserts that *Carter* has no impact because "the Supreme Court intended its ruling in [*Garner*] to be applied retroactively to the parties." In this single utterance, the majority misconstrues both the *Carter* and the *Garner* decisions.

The Supreme Court's holding in *Garner* was carefully limited to declaring unconstitutional the actions of officer Hymon and the Tennessee statute which authorized them. No one is arguing that *Garner* should not be applied retroactively. The Supreme Court's holding is being given full force and effect; it is now undisputed in this case, just as it was undisputed in *Carter*, that the officer's shooting was unconstitutional. The ultimate issue, however, both in *Carter* and in the present case, is whether the City and the Department are liable in damages for that deprivation. On this issue, the Supreme Court carefully expressed no opinion and thus there is nothing to be given "retroactive effect." In *Carter*, on the other hand, this court squarely held that such liability could not be imposed. The majority's cryptic warning, therefore, that "[n]either this court nor the district court is free to reexamine the Supreme Court's ruling," is misdirected. It is the majority that should take care not to attribute to the Supreme Court rulings it has not made.

To be sure, a panel of this court in *Garner II* opined that the City's and the Department's reliance was irrelevant. *Garner II*, 710 F.2d at 248-49. *Carter*, however, disavowed this dicta and held that a City's good faith reliance on the fleeing felon rule prior to the Supreme

Court's decision in *Garner* precludes liability for pre-*Garner* shootings. Therefore, I would hold that the district court correctly applied *Carter* to resolve this case in favor of the City and the Department. The majority errs, in my view, by refusing to give *Carter* controlling effect.

II.

Cleamtee Garner has persuaded the highest court in the land to declare, without equivocation, that his son died in violation of some of this county's most deeply-held principles. Just as fundamental, however, is the principle upon which this court relied in *Carter:* it is simply not fair, and not in keeping with the letter, the purpose or the intent of 42 U.S.C. § 1983 to hold the City and the Department liable in damages for young Garner's death when they could not have known that the actions of its officers were unconstitutional. The determination that the death of Mr. Garner's son was unconstitutional is, and must be, a separate question from whether the citizens of Memphis must compensate that tragedy under § 1983.

I would affirm the district court's judgment in favor of the City and the Department and, therefore, respectfully dissent.

No. 92-6196

UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

CLEAMTEE GARNER, FATHER AND NEXT-OF-KIN OF EDWARD EUGENE GARNER, A DECEASED MINOR,	)	ORDER
Plaintiff-Appellant,	)	(Filed Dec. 07 1993)
v.	)	
MEMPHIS POLICE DEPARTMENT; CITY OF MEMPHIS, TENNESSEE,	)	
Defendants-Appellees,	)	
WYETH CHANDLER, MAYOR OF MEMPHIS, ET AL.,	)	
Defendants.	)	

BEFORE: MERRITT, Chief Judge; KEITH and SUHR-HEINRICH, Circuit Judges.

The court having received a petition for rehearing en banc, and the petition having been circulated not only to the original panel members but also to all other active judges of this court, and no judge of this court having requested a vote on the suggestion for rehearing en banc, the petition for rehearing has been referred to the original hearing panel.

The panel has further reviewed the petition for rehearing and concludes that the issues raised in the petition were fully considered upon the original submission and decision of the case. Accordingly, the petition is

denied. Judge Suhrheinrich would grant rehearing for the reasons stated in his dissent.

ENTERED BY ORDER OF THE COURT

/s/ Leonard Green
Leonard Green, Clerk pe

(2)

No. 93-1142

Supreme Court, U.S.
FILED
FEB 15 1994
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IN THE

Supreme Court of the United States

OCTOBER TERM, 1993

MEMPHIS POLICE DEPARTMENT, *et al.*,
Petitioners,

v.

CLEAMTEE GARNER,
Respondent.

On Petition For A Writ Of Certiorari To The United States Court Of Appeals For The Sixth Circuit

---

BRIEF IN OPPOSITION

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No. 93-1142

IN THE

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OCTOBER TERM, 1993

MEMPHIS POLICE DEPARTMENT, *et al.*,
Petitioners,

V.

CLEAMTEE GARNER,
Respondent.

ON PETITION FOR A WRIT OF CERTIORARI
TO THE UNITED STATES COURT OF APPEALS
FOR THE SIXTH CIRCUIT

BRIEF IN OPPOSITION

STATEMENT OF THE CASE

After 18 years, and three appearances before the Court of Appeals, Petitioners now seek a second review by this Court of this wrongful death action. *See, Tennessee v. Garner,* 471 U.S. 1 (1985). In 1985 this Court affirmed the Sixth Circuit's ruling pertaining to the fleeing felon rule and held that the common law fleeing felon rule -- which authorized police to use deadly force to prevent the escape of fleeing felony suspects -- violated the Fourth Amendment. The case was remanded to the district court for resolution of the issues raised by *Monell v. New York City Dept. of Social Services,* 436 U.S. 658 (1978), and there it languished.

On remand, plaintiff filed a motion for partial summary judgment against the city under *Monell,* (June 23, 1986). On August 21, 1991, defendants filed a supplemental response to plaintiff's motion for partial summary judgment citing *Carter v. City of Chattanooga,* 850 F.2d 1119 (6th Cir. 1988) *(en banc), cert. denied,* 488 U.S. 1010 (1989). On June 30, 1992 the district court denied plaintiff's motion for summary judgment, and granted summary judgment in favor of defendants, holding that under *Carter,* this Court's ruling in *Garner* should not be applied retroactively to the parties. The court then dismissed the case. Plaintiff filed a timely appeal, challenging the grant of summary judgment in favor of the defendants and the denial of plaintiff's motion for summary judgment.

Based on the uncontroverted facts in this case, as set forth in the Sixth Circuit opinion[1], and consistent with this Court's holdings in *Monell v. New York City Dept. of Social Services,* 436 U.S. 658 (1978), and *Tennessee v. Garner,* 471 U.S. 1 (1985), the Court of Appeals expressly reversed the district court's grant of summary judgment in favor of the defendants and its denial of plaintiff's partial summary judgement motion, holding *inter alia,*

---

[1] In its attempt to seek a *de novo* review of this case the Petitioner asserts that the officer could not tell whether the deceased was armed; was fearful that if young Mr. Garner escaped there would be "little opportunity of identification" for purposes of future arrest; and suggests that the officer did not know that Garner was only fifteen years old and unarmed at the time of the fatal shooting. (Pet. 4-5) The Court of Appeals opinion makes plain however that the officer ". . . could see that the fleeing felony [sic] was a youth and was apparently unarmed"; that, ". . . the officer fired at the upper part of the body, as he was trained to do by his superiors at the Memphis Police Department"; and that the officer shot, ". . . because he believed the boy would elude capture in the dark once he was over the fence." (Appendix to the Petition, A7)

> This circuit has stated that to satisfy the *Monell* requirements a plaintiff must 'identity the policy, connect the policy to the city itself and show that the particular injury was incurred because of the execution of that policy.' *Coogan v. City of Wixom*, 820 F.2d 170, 176 (6th Cir. 1987) (adopting the test articulated in *Bennett v. City of Slidell*, 728 F.2d 762, 767 (5th Cir. 1984) (*en banc*), *cert. denied*. 472 U.S. 1016 (1985)). Plaintiff has met this standard.

Garner v. Memphis Police Depart., (Pet. App., A14-15)[2]

The Sixth Circuit specifically remanded this case "with directions that the district court enter an order of partial summary judgment in favor of the plaintiff on the issue of liability", *Garner v. Memphis Police Depart.*, (Pet. App., A21). The Court of Appeals subsequently denied the petition for rehearing en banc or by the panel, and the defendants filed this petition.

REASONS FOR DENYING THE WRIT

The principle issues raised by this petition are (1) whether the rule of *Tennessee v. Garner*, 471 U.S. 1 (1985), will be applied to the very case that laid down the rule; (2) whether the police officer's dismissal from the case because he was protected by the doctrine of qualified immunity, similarly entitles these municipal defendants to exoneration for the constitutional injury suffered by Mr. Garner; and (3) whether the court below correctly applied the *Monell* standard to the undisputed facts. These issues are all well-settled.

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[2] Citations in this form refer to the appendix to the petition.

(1) Petitioners argue that *Garner* should not be applied retroactively in the very case that established the rule. This argument is foreclosed by this Court's recent holding in *Harper v. Virginia Department of Taxation,* 509 U.S. ---, 125 L.Ed.2d 74, 113 S.Ct. ---, (1993), which is controlling. There, this court held that when the Court does not reserve the question whether its holding should be applied to the parties before it, the opinion is properly understood to have followed the normal rule of retroactive application, ". . . that its rule should apply retroactively to the litigants then before the Court." *Id.,* at 125 L.Ed.2d 86-87, quoting *James B. Beam Distilling Co. v. Georgia,* 501 U.S. ---, 115 L.Ed.2d 481, 111 S.Ct. 2439 (opinion of Souter, J.). Moreover, this Court also held that "When this Court applies a rule of federal law to the parties before it, that rule is the controlling interpretation of federal law and must be given full retroactive effect in all cases still open on direct review and as to all events, regardless of whether such events predate or postdate our announcement of the rule." *Harper,* 125 L.Ed.2d at 86.

Just as this Court relied on *Beam,* in reaching its decision in *Harper,* so too did the Sixth Circuit rely on *Beam,* in its holding below:

> The *Beam* court held unanimously that *Bacchus'* silence on the retroactivity issue indicated that the Court intended to follow the usual practice of applying its decision to the parties before it.

Garner v. Memphis Police Depart. (Pet. App., A12)

The district court's opinion and the petition each rely upon a retroactivity analysis that was rejected by this Court

in *Beam* and *Harper*.[3]

(2) Petitioners' reliance on *City of Los Angeles v. Heller*, 475 U.S. 796 (1986), for the proposition that the successful assertion of qualified immunity, by an individual actor, absolves a municipality of liability, is similarly unavailing. In *Heller*, this Court held that a finding that the officer did not commit a constitutional violation precluded a claim against the city that its policy was responsible for the officer's action. Here the constitutional violation has been clearly and repeatedly established, notwithstanding the officer's successful assertion of qualified immunity.

> In the instant case there is no doubt that a constitutional violation occurred. 'A police officer may not seize an unarmed, nondangerous suspect by shooting him dead. The Tennessee statute is unconstitutional insofar as it authorizes the use of deadly force against such fleeing suspects.' *Tennessee v. Garner*, 472 U.S. at 11. The district court's holding to the contrary was overturned by this court, and the Supreme Court affirmed.

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[3] Both the district court and Petitioners rely on *Carter v. City of Chattanooga, Tenn.*, 850 F.2d 1119 (6th Cir. 1988) *(en banc), cert. denied*, 488 U.S. 1010 (1989), for the proposition that this Court's holding in *Garner* should not be applied to the litigants in *Garner*. *Carter*, in turn, relies on *Chevron Oil Co. v. Huson*, 404 U.S. 97 (1971) to support the non-retroactive application of *Garner* to a case in which the events predate the announcement of the rule of *Garner* while the decision postdates *Garner*. Whatever relevance *Carter* or *Chevron* may have had to the case at bar is clearly extinguished by this Court's holding in *Harper*, that, " '. . . to apply a rule of federal law retroactively after the case announcing the rule has already done so' must 'prevai[l] over any claim based on a *Chevron Oil* analysis.'" *Harper*, 125 L.Ed.2d at 87, (quoting opinion of Souter, J., *Beam*, 115 L.Ed.2d 481).

> *Garner II,* 710 F.2d 240; *Tennessee v. Garnery,* [sic], 471 U.S. 1.

Garner v. Memphis Police Depart., (Pet. App., A18)

A municipality is not entitled to the shield of qualified immunity from liability under 42 U.S.C. § 1983, nor may it "assert the good faith of its officials or agents as a defense to liability under § 1983." *Owen v. City of Independence,* 445 U.S. 622, 638 (1980); *see also, Brandon v. Holt,* 489 U.S. 464 (1985).[4]

(3) The Sixth Circuit's clear statement of the proper standard established by this Court's decision in *Monell,* is not challenged by the Petitioners. (Petition at 9)

> This circuit has stated that to satisfy the *Monell* requirements a plaintiff must 'identity the policy, connect the policy to the city itself and show that the particular injury was incurred because of the execution of that policy.' *Coogan v. City of Wixom,* 820 F.2d 170, 176 (6th Cir. 1987) (adopting the test articulated in *Bennett v. City of Slidell,* 728 F.2d 762, 767 (5th Cir. 1984) (*en banc*), *cert. denied.* 472 U.S. 1016 (1985)). Plaintiff has met this standard.

Garner v. Memphis Police Depart., (Pet. App., A14-15).

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[4] *See, Doe v. Sullivan County, Tenn.,* 956 F.2d 545, 554 (6th Cir.), *cert. denied,* 113 S.Ct. 187 (1992). The petitioner's reliance on the district court's opinion in *Rodriguez v. City of Passaic,* 730 F.Supp. 1312 (D.N.J. 1990), *aff'd.,* 914 F.2d 244 (3rd Cir. 1990), ignores the fact that *Rodriguez* specifically relies on the reasoning of *Chevron Oil Co. v. Huson,* 404 U.S. 97 (1971) and *Carter v. City of Chattanooga, Tenn.,* 850 F.2d 1119 (6th Cir. 1988) *(en banc), cert. denied,* 488 U.S. 1010 (1989), which were rejected by this Court in *Harper.*

Rather, the Petitioners seek alternative factfinding on the question whether Memphis Police Department General Order 5-74(3)(b)(3)[5] constitutes a "policy or custom" of the Memphis Police Department, "authorizing use of deadly force when necessary to apprehend a fleeing burglary suspect." (Pet A.15). Curiously, while Petitioners make this argument at page 10 of their petition, they concede at page 12, that Officer Hymon was "following established police department policy." The facts surrounding the adoption of the Memphis deadly force policy are undisputed. Based on this record the Court of Appeals has twice determined the existence and effect of the Memphis deadly force policy in accordance with *Monell,* and there is no contrary factfinding by the district court. Moreover, this effort by the Petitioners fails to recognize that this Court simply does not sit to resolve purported disputes regarding the facts. *United States v. Johnson,* 268 U.S. 220, 227 (1925); *Texas v. Mead,* 465 U.S. 1041 (1984) (Stevens, J.).

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[5] (3) Other Felonies Where Deadly Force is Authorized After all reasonable means of preventing or apprehending a suspect have been exhausted, DEADLY FORCE is authorized in the following crimes:

(a) Kidnapping
(b) Murder in the 1st or 2nd degree
(c) Manslaughter
(d) Arson (Including the use of firebombs)
(e) Rape
(f) Assault and battery with intent to carnally know a child under 12 years of age
(g) Assault and battery with intent to commit rape
(h) *Burglary in the 1st, 2nd, or 3rd degree*
(i) Assault to commit murder in the 1st or 2nd degree

Garner v. Memphis Police Depart., (Pet. App., A.15) (Emphasis in original)

CONCLUSION

For all the forgoing reasons, the petition for a writ of certiorari should be denied.

RESPECTFULLY SUBMITTED

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